

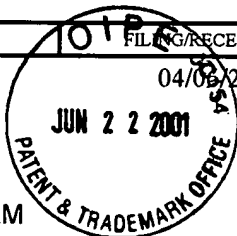
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,705	04/06/2001	Youich Tei	M1971-89



MORRISON LAW FIRM
145 North Fifth Avenue
Mt. Vernon, NY 10550

CONFIRMATION NO. 6469

FORMALITIES LETTER



OC000000006085582

Date Mailed: 05/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 2 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 876.

*A copy of this notice **MUST** be returned with the reply.*

H.T

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/26/2001 MBERHE 00000065 09828705

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	36.00 OP



Section
\$ V \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Youich TEI et al.
Serial No. : 09/828,705
File Date : April 6, 2001
Title : METHOD FOR PURIFYING A THERMOPLASTIC NORBORNENE
RESIN, A SUBSTRATE USING SUCH A RESIN, AND A MAGNETIC
RECORDING MEDIUM USING SUCH A SUBSTRATE
Art Unit : 1751 Examiner:
Docket No. : M1971-89

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : June 20, 2001
By : Margaret L. Goldstein
Signature : M. Goldstein

Hon. Assistant Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

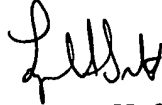
In response to the Notice to File Missing Parts of Application dated May 17, 2001,
enclosed herewith please find the following:

- * Declaration and Power of Attorney;
- * Copy of Notice to File Missing Parts of Nonprovisional Application;
- * Check for \$876 to cover the large entity filing fee (\$710) plus 2 extra claim(s) over 20 (\$36) and late filed Declaration surcharge (\$130).

Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,



Lyman H. Smith
Reg. No. 44,342
Agent for Applicant

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